TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number  Filing Date  First Named Inventor	PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE lection of information unless it displays a valid OMB control number. 10/705,996 11/13/03 Platteeuw 1615 Unassigned SYN-0053
. S.d. Hamber of Fages III This obstitusion	ENCLOSURES (Check all	
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks	
SIGNATU	JRE OF APPLICANT, ATTO	RNEY, OR AGENT
CEF I hereby certify that this correspondence is bein		ION/MAILING O or deposited with the United States Postal Service with r Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name  Mark R. Buscher  Signature		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**PATENT** 

## TE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Johannes J. PLATTEEUW. : Examiner: Unassigned

Serial No. 10/705,996 : Group Art Unit: 1615

Filed: Nov. 13, 2003 : Docket No.: SYN-0053

For: Pharmaceutical Pellets Comprising Tamsulosin

## INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450 August 18, 2004

Sir:

Applicants hereby submit a copy of each document, except the US Patents and US Published Applications, listed on the attached PTO-1449 Form, for entry into the above-identified application and consideration by the Examiner.

This Information Disclosure Statement is being submitted before a first Office Action on the merits under 37 C.F.R. § 1.97(b), and thus no fee is due.

This Statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to examination of the present patent application does not exist.

The Examiner is specifically requested not to rely solely on the documents submitted herewith.

Having complied with rules 97 and 98, applicants request that the listed documents be officially considered by the Examiner, that the Examiner initial and return a copy of the 1449

Serial No. 10/705,996

Form, and that the listed documents be printed among the "References Cited" on any patent to issue from this application.

Should the Examiner have any questions regarding this application, the Examiner is requested to contact applicants' representative, Mark R. Buscher (Reg. No. 35,006) at telephone number 703 753 5256.

Respectfully submitted,

Mark R. Buscher

Registration No. 35,006

P.O. Box 161 Catharpin, VA 20143 703 753 5256

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known		
Application Number	10/705,996	
Filing Date	11/13/2003	
First Named Inventor	Platteeuw	
Art Unit		
Examiner Name		
Attorney Docket Number	SYN-0053	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4 724 470	02 15 00	Nijesto et el	<u> </u>
	L	<sup>US-</sup> 4,731,478	03-15-88	Niigata et al.	
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		<sup>US-</sup> 4,966,768	10-30-90	Michelucci et al.	
		<sup>US-</sup> 6,177,430	01-23-2001	Thompson et al.	
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		<sup>US-</sup> 6,368,628	04-09-2002	Seth	
		<sup>US-</sup> 2004-0096502-A1	05-20-2004	Platteeuw	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		EP 0 034 432 B1	02-02-81	Imai et al.		
		EP 0 194 838 B1	09-06-93	Fukui et al		
		EP 0 533 297	11-19-97	Fukui et al.		
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Examiner		Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	3	Attorney Docket Number	SYN-0053

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		. NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		NDA 20-579, FDA Office of Clinical Pharmacology and Biopharmaceutics Review, pp 1-6, 1997	_
		Flowmax, MIMMS Abbreviated Prescribing Information, MIMMS Australia, 1996-2002	
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Examiner	Date	
Signature	Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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ОТН	CR ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)
ILE S	
8	Presentation entitled: "In Vivo Performance of Hydrophilic Matrix Tablets Utilizing HPMC: Case Studies" by Tim D. Cabelka, Ph.D. and Thomas D. Reynolds, Ph.D., The Dow Chemical Company, October 17, 2000.
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	van Hoogdalem EJ, Soeishi Y, Matsushima H and Higuchi S. "Disposition of the selective 1A adrenoceptor antagonist tamsulosin in humans: Comparison with data from interspecies scaling."  J Pharm Sciences 1997; 86: 1156-1161.
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.